

Change of investor details:

Intermediary clients



Brewin
Dolphin

Current Details of Intermediary Client

Please complete the below section in full. Once you have completed this section progress to the relevant sections of the form that require your Client's details to be updated. Please leave sections blank where no updates are required.

Name of Client

RBC Brewin Dolphin Client Code

Name of Intermediary Firm

Name of Adviser

Name of RBC Brewin Dolphin
Investment Manager

Change of Intermediary Client Details

Please complete the form below for any amendments you wish to make to your Client's Portfolio(s).

Please state to which account(s) the changes apply:

Client code(s) (if known)

Section 1: Change of Name, Address and Contact Details

First name(s)

Surname

Reason for change of name: Change of name following marriage or civil partnership

(Please provide certified copy of the marriage or civil partnership certificate)

Change of name following separation, divorce or dissolution of a civil partnership

(Please provide certified copy of the Deed Poll document showing the name has reverted to the name before marriage or civil partnership)

Address verification is required where we are notified of an address change. Please provide details below if applicable.

New Residential Address

House name

House number

Street

City

County

Postcode

Country

If your Client has changed their country of residency, or gained additional tax residencies, please provide their Tax Identification Number for all countries in which they are tax resident:

Country(s) of Residency for Tax Purposes

Tax Identification Number

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Tax Identification Number

Country(s) of Residency for Tax Purposes

Tax Identification Number

Tax including Capital Gains Tax

Taxes including Capital Gains Tax are potentially payable on income and/or gains made from the holding or sale of investments. **Please note we are not tax experts and cannot provide tax advice, your Client should consult their personal tax adviser if they have any doubts in this area. We do not accept liability for any adverse tax liability arising from the use of our services.**

Section 2: Change of Investment Mandate

Type of Service

Discretionary Fund Management (DFM)

Please indicate below which Risk Category your Client wishes to change to

Please complete this section if you are a new Investor, or wish to amend any details.

Risk Category 1

Risk Category 2

Risk Category 3

Risk Category 4

Risk Category 5

Risk Category 6

Section 3: Change of bank details (for direct clients only)

Bank details

Please provide bank details if you wish to change the mandated bank account with us.

Account Name

Name of Bank

Branch

Bank Account Number

Sort Code

Account currency Euro Sterling Other (please specify)

IBAN number

BIC number

Section 4: Correspondence and Administration

Information for Professional Advisers and other parties

If you would like us to send copies of your periodic account information to your professional advisers or other third parties, please provide the details below and indicate which documents you would like us to send. We will not accept instructions from professional advisers or other third parties unless you instruct us below or separately in writing. We will only accept instructions from ONE third party.

Do you want us to provide information on your Client's portfolio to third parties? Yes No

If yes, please indicate below:

Client	Tax Adviser/ Accountant	Solicitor	Other
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General Correspondence

Valuation Report*

Year End Tax Report (one copy only)

Please provide contact details of relevant third party.

Contact Name

Role

Name of Firm

Address

City/Town

Postcode

Date of Birth

Country of Birth

Email

Telephone Number

Domicile

Section 5: Declaration

- I/We declare that the information provided in this form is correct, complete and up-to-date, and I/we will notify RBC Brewin Dolphin promptly of any changes to the information provided in this form and of any other relevant information.
- The Intermediary undertakes to advise RBC Brewin Dolphin promptly of any change in circumstances which causes the tax residency information to become incorrect or incomplete and to provide RBC Brewin Dolphin with an updated declaration within 30 days of such a change in circumstances.
- The Intermediary understands that in certain circumstances RBC Brewin Dolphin will be obliged to share such tax residency information with the Irish tax authorities, who may share this with other tax authorities.
- I/we declare that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete:

Intermediary Authorised Signature

Signed

Date

Print Name

