

Intermediary Account Opening Form:

Company Offshore Bond



Brewin
Dolphin

Helping us deliver our services

Before providing this service for your investor(s), we need to confirm your instructions as to the Risk Category of portfolio management that should be applied to this account and within which we will manage the associated investments. This form has also been designed to provide us with certain background information (such as any specific instructions as to moral or ethical investing) and, as a consequence, will help us deliver our services. Before completing this form, the Intermediary should undertake an assessment of the suitability of RBC Brewin Dolphin's services for the Offshore Bond Investor(s).

Thank you for taking the time to complete and return this form to us. If you have any questions while completing the form your RBC Brewin Dolphin Business Development Manager or Investment Manager will be pleased to help.

If you would prefer to complete the form in large print please ask your usual RBC Brewin Dolphin contact for a copy.

Name of Investment Manager

Name of Business Development Manager

Section 1: Offshore Bond Provider and Intermediary Registration Details

Please print in capitals throughout this form and mark boxes with an ✓.

Offshore Bond Policy Number

Account Title

Open Architecture (with Selection Rights), is where the Offshore Bond Investor (the Policyholder) is restricted at all times to investing only in collective investments, as set out in the Income Tax (Trading and Other Income) Act 2005 and the Offshore Bond Provider's approved asset list.

Offshore Bond Provider's Address

Company Name

Address

City

County

Postcode

Country

Which jurisdiction is the bond to be issued from?

Regulatory Authorisation Number

VAT Registration Number

Email

Intermediary Firm Details

Intermediary Firm's Name

Intermediary Firm's Address

Firm's FCA Number

Adviser Name

Adviser's Contact Number

Adviser's Email Address

Adviser's Individual FCA Number

VAT Registration number
(if registered)

Section 3: Trust's requirements continued

3.6. Does the Company have any restrictions on where its money is invested?

Note: Restrictions can only be applied within our Bespoke Discretionary Fund Management (DFM) service. RBCBD can consider ethical restrictions defined by the Ethical Investment Research Service (Moody's), Industrial Classification Benchmarks (ICB) & Company Restrictions. Your adviser will review your requirements against these to ensure we can support them.

Any investment restriction that you may impose to the management of the portfolio will only apply to direct investments because of the difficulty and cost of keeping permanently up to date with the underlying holdings in collective investment schemes or other packaged retail investment products. You further understand that any such restriction set by you may affect the performance of the portfolio.

3.7. Are there any other restrictions? *e.g maximum holding size (instruments that are excluded from investments etc)* Yes No

If yes, please provide details:

3.8. Please indicate below which Risk Category you have agreed to for the Trust's account.

Please complete this section if you are a new Investor, or wish to amend any details.

Risk Category Intermediary 1

Risk Category Intermediary 2

Risk Category Intermediary 3

Risk Category Intermediary 4

Risk Category Intermediary 5

Risk Category Intermediary 6

Risk Category Intermediary 7

Please refer to our Retail Terms and Conditions for Clients of Financial Advisers and the associated Risk Guide for further information.

3.9. Benchmarks

Please refer to our Risk Guide for Intermediaries for further information on the default benchmark that corresponds with the selection in section 3.5.

3.10. Does the Company anticipate any changes to its circumstances? Yes No

If yes, please provide details:

Section 3: Trust's requirements continued

3.11. Company details

Date of last submitted accounts

Annual income £

Annual Surplus £

Number of staff employed

(rounded down to the nearest 10)

3.12. How we hold your assets

Our default option is for our clients to use one of our pooled nominee facilities at no additional cost. In line with Central Securities Depositories Regulation (CSDR), we also offer designated nominee facilities at an additional charge (please refer to your rate card for more information).

Would you like to set up a separate designated nominee account?

Yes

No

If no selection is indicated the account will be set up in a pooled nominee account.

For the risks and benefits of this service please visit www.rbcwealthmanagement.com/en-uk/csd

Section 4: Directors

What rate of Corporation Tax is payable?

This Year

% Last Year

%

How many Directors are there?

Tick box if the Company is registered for VAT

VAT number (including country prefix if not UK)

First Director/Policy Holder

Mr

Mrs

Miss

Ms

Mx

Other

If Other, please state below.

Other

First Name(s)

Surname

Date of Birth

Country of Birth

Nationality

Second Nationality

Domicile

Residency

National Insurance Number

Tax Identification Number

First Director's/Policy Holder's Current Residential Address

Address

City

County

Postcode

Country

Section 4: Directors continued

First Director's/Policy Holder's Contact Details

Home Telephone Number

Country if not UK

Other Telephone Number

Country if not UK

Email

Second Director/Policy Holder

Mr

Mrs

Miss

Ms

Mx

Other

If Other, please state below.

Other

First Name(s)

Surname

Date of Birth

Country of Birth

Nationality

Second Nationality

Domicile

Residency

National Insurance Number

Tax Identification Number

Second Director's/Policy Holder's Current Residential Address

Address

City

County

Postcode

Country

Second Director's/Policy Holder's Contact Details

Home Telephone Number

Country if not UK

Other Telephone Number

Country if not UK

Email

Section 4: Directors continued

Third Director/Policy Holder

Mr Mrs Miss Ms Mx Other *If Other, please state below.*

Other

First Name(s)

Surname

Date of Birth

Country of Birth

Nationality

Second Nationality

Domicile

Residency

National Insurance Number

Tax Identification Number

Third Director's/Policy Holder's Current Residential Address

Address

City

County

Postcode

Country

Third Director's/Policy Holder's Contact Details

Home Telephone Number

Country if not UK

Other Telephone Number

Country if not UK

Email

Fourth Director/Policy Holder

Mr Mrs Miss Ms Mx Other *If Other, please state below.*

Other

First Name(s)

Surname

Date of Birth

Country of Birth

Nationality

Second Nationality

Domicile

Residency

National Insurance Number

Tax Identification Number

Section 4: Directors continued

Fourth Director's/Policy Holder's Current Residential Address

Address

City

County

Postcode

Country

Fourth Director's/Policy Holder's Contact Details

Home Telephone Number

Country if not UK

Other Telephone Number

Country if not UK

Email

Section 5: Beneficial owners

The Beneficial Owners of Incorporated Companies, Limited Liability Partnerships (LLP's) and Scottish Partnerships are any individual:

- who exercises ultimate control over the management of the body corporate; e.g. has the power to appoint or remove directors
- who ultimately owns or controls more than 25% of the shares or voting rights in the body corporate, or
- who otherwise controls the body corporate

Please provide details below of Beneficial Owners.

First Beneficial Owner

Mr Mrs Miss Ms Mx Other *If Other, please state below.*

Other

First Name(s)

Surname

Date of Birth

Country of Birth

First Beneficial Owner Current Residential Address

Address

City

County

Postcode

Country

Section 5: Beneficial owners continued

First Beneficial Owner Contact Details

Home Telephone Number

Country if not UK

Other Telephone Number

Country if not UK

Email

Second Beneficial Owner

Mr Mrs Miss Ms Mx Other *If Other, please state below.*

Other

First Name(s)

Surname

Date of Birth

Country of Birth

Second Beneficial Owner Current Residential Address

Address

City

County

Postcode

Country

Second Beneficial Owner Contact Details

Home Telephone Number

Country if not UK

Other Telephone Number

Country if not UK

Email

Third Beneficial Owner

Mr Mrs Miss Ms Mx Other *If Other, please state below.*

Other

First Name(s)

Surname

Date of Birth

Country of Birth

Third Beneficial Owner Current Residential Address

Address

City

Section 5: Beneficial owners continued

County

Postcode

Country

Third Beneficial Owner Contact Details

Home Telephone Number

Country if not UK

Other Telephone Number

Country if not UK

Email

Fourth Beneficial Owner

Mr Mrs Miss Ms Mx Other *If Other, please state below.*

Other

First Name(s)

Surname

Date of Birth

Country of Birth

Fourth Beneficial Owner Current Residential Address

Address

City

County

Postcode

Country

Fourth Beneficial Owner Contact Details

Home Telephone Number

Country if not UK

Work Telephone Number

Country if not UK

Mobile

Country if not UK

Email

Section 6: Correspondence and Administration

6.1. Information for Intermediaries, Professional Advisers and Third Parties

If your Company would like us to send copies of periodic account information to their other professional advisers or other third parties, please provide the details below and indicate which documents they would like us to send.

Do you want us to provide information on the Company's portfolio to third parties? Yes No

If yes, please indicate below:

	Advisor	Company	Tax Adviser/ Accountant	Solicitor	Other
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General Correspondence

Valuation*

Invoices

Contract Notes

Year End Tax Report (one copy only)

MiFID reporting

** maximum of 4*

Please provide contact details (if applicable)

Contact Name

Role

Name of Firm

Address

Postcode

Email

Telephone Number

Additional third party details: If the Company would like us to send copies of their account information to additional professional advisers or other third parties, please provide the details on a separate sheet.

6.2. Tax Year End

Please confirm the Companies tax year end.

Notice and communications will be sent to you.

6.3. Periodic Statements

A regular valuation report is provided quarterly on the following dates:

5th January, 5th April, 5th July, 5th October

Portfolios will be valued in sterling. If you wish to change the currency, please contact your RBC Brewin Dolphin Investment Manager.

6.4. Would you like to access the Company account online via our MyBrewin web portal? Yes No

MyBrewin enables you to view up-to-date information about their investments through our MyBrewin portal at www.rbcwm.com/mybrewin. To enjoy the benefits of MyBrewin, we will simply need to confirm the Company officials mobile telephone number and the email address they would like to use for their MyBrewin account. Please note, for data security reasons and to reduce the risk of fraud, we will not create more than one MyBrewin account with the same email address.

Section 6: Correspondence and Administration

Individual 1 Mobile Telephone Number for MyBrewin Account

Individual 1 E-mail Address for MyBrewin Account

Individual 2 Mobile Telephone Number for MyBrewin Account

Individual 2 E-mail Address for MyBrewin Account

Individual 3 Mobile Telephone Number for MyBrewin Account

Individual 3 E-mail Address for MyBrewin Account

Individual 4 Mobile Telephone Number for MyBrewin Account

Individual 4 E-mail Address for MyBrewin Account

Individual 5 Mobile Telephone Number for MyBrewin Account

Individual 5 E-mail Address for MyBrewin Account

Section 7: Bank details and asset transfers

7.1. If funds are being transferred to us when this account is being opened, please provide the following information on the source of these funds.

Provider Client Account

Please do not insert Policyholder bank details here

Account Holder Name

Name of Bank
or Building Society

Branch

Building Society Roll No.

Bank Account Number

Sort Code

For Bank Accounts outside the UK please provide:

IBAN number

City

Country

7.2. Please confirm the source of funds and the source of wealth in relation to this account.

Origin of Funds

Detail where the funds coming into RBC Brewin Dolphin will be coming from e.g. which financial institution.

Source of Funds

The Source of Funds refers to the activity that generated the cash / investments to be held by RBC Brewin Dolphin.

Source of Wealth
(total net worth)

The Source of Wealth refers to the activity that generated the total worth of the individual or entity.

7.3. Third party instructions

All instructions should be routed via your Provider outlined in Section 1.

AML Client Risk Factors

Corporate

1. **Is the client, or any party to the client a ‘Trust and Company Service Provider’?** Yes No
E.g. Director, Shareholder, Beneficial Owner.
2. **Is the client a Personal Asset Holding Vehicle?** Yes No
E.g. a shell company, a personal investment company (PIC) or an atypical trust (e.g. the settlor or trustee is also a beneficiary) established (usually offshore) to hold personal assets.

A shell company is a company which serves as a vehicle for business transactions without itself having any significant assets or operations. They may also be known as international business companies, personal investment companies, front companies or mailbox companies.

The use of such persons or arrangements may make identification of ultimate beneficial owners more difficult since layering of ownership may conceal the true source or controller of the investment.
3. **Does the client have any nominee shareholders?** Yes No
A nominee shareholder is an unrelated third party, who is officially registered as the holder of shares usually in an offshore company. The purpose is to uphold client secrecy by shielding the actual owner of the company from being publicly associated with that particular company.

Do not include nominee companies formed by banks or other regulated financial services firms to hold and administer securities or other assets as a custodian (registered owner) on behalf of an actual owner (beneficial owner) under a custodial agreement.
4. **Does the company issue shares in bearer form?** Yes No
Companies who issue their capital in the form of bearer shares make it possible to hide the identity of the beneficial owner(s) and/or changes in beneficial ownership by separating legal and beneficial ownership, or because there is no trail of ownership, which introduces a degree of anonymity.
5. **Is the company cash intensive or does it operate in a sensitive industry?** Yes No
Money Service Businesses, casinos/gambling or dealers in precious metals. Natural Resources Extractive Industries e.g. oil, gas, mining and primary-forest logging companies, Defence Industry, Government procurement activities, Human Health Sector (pharmaceutical, medical devices, both research and development), Large infrastructure projects (public works contracts and construction), Privatisation, Developmental and Other Types of Assistance e.g. foreign aid.
6. **Does the ownership structure of the client entity appear complex given the nature of the client?** Yes No
E.g. a trust or corporate vehicle that is part of a multi-layered structure which seems excessively complex in relation to what you would expect for the size or purpose of the company.
7. **Is the business conducted in unusual circumstances?** Yes No
If there is anything you feel is unusual it can be raised here. A non-exhaustive list of examples may include:
 - the introduction or communication is from an unusual channel,
 - a client located outside of the UK has selected the firm based purely on an internet search i.e. no family or UK connections
 a Qualifying Recognised Overseas Pension Scheme (QROPS) without an adviser.
8. **Is the client a regulated credit or financial institution?** Yes No
Is the firm located in an EEA state or a low risk jurisdiction’ and regulated by the FCA, JFSC, CBI or the financial regulator for the relevant jurisdiction.
9. **Is the client listed on a stock exchange with adequate disclosure requirements?** Yes No
The client is a company (or corporate vehicle) that is listed on:
 - the London Stock Exchange or AIM, or
 - a market that is on the EU Regulated Markets list https://registers.esma.europa.eu/publication/searchRegister?core=esma_registers_mifid_rma or
 - another market with assessed adequate disclosure requirements.

10. Is the client a Public Administration or Enterprise? Yes No

UK or overseas governments (or their representatives), supranational organisations, government departments, state-owned companies or local authorities. Public sector bodies include state supported schools, colleges, universities and NHS trusts. <https://www.gov.uk/government/organisations>

11. Is the client a pension, superannuation or similar scheme providing retirement benefits to employees? Yes No

Contributions are made by way of deduction from wages, and the scheme rules do not permit the assignment of a member's interest under the scheme. (This excludes SIPP's and SSAS's)

Section 8: Intermediary Declaration and Acceptance of Terms by the Intermediary

8.1. Data Protection

Your personal data will be handled by RBC Brewin Dolphin in accordance with the provisions of all applicable data protection laws and regulations from time to time in force relating to data protection, privacy and the processing of personal data (“Data Protection Laws”), including the General Data Protection Regulation (Regulation (EU) 2016/679) (“GDPR”) and the Data Protection Act 2018. The Data Protection Laws govern how we may use your personal information and give you certain rights in respect of your data. For further details on our data processing, please refer to our Retail Client Terms & Conditions (for the clients of financial advisers) or read our privacy notice, which is available at www.rbcwealthmanagement.com/en-uk/privacy-notice. Our privacy notice includes information on how to contact us should you wish to exercise your data protection rights.

8.2. Intermediary Declaration and Acceptance

In relation to your Client, the Offshore Bond Investor(s) (or “Policyholder(s)”), I/We declare on behalf of the Intermediary firm named in Section 1 (“Intermediary”) that:

- The Intermediary has undertaken an assessment of the suitability of RBC Brewin Dolphin’s services for the Policyholder;
- The information provided in this form is correct and complete to the best of the Intermediary’s knowledge and the Intermediary will notify RBC Brewin Dolphin and Provider promptly of any changes;
- The Intermediary has obtained information from the Policyholder in relation to their knowledge and experience in investments and confirms that the Policyholder has the necessary experience and knowledge in order to understand the risks involved in the management of the portfolio;
- The Intermediary has obtained information from the Policyholder in relation to their financial situation including the source and extent of their regular income, assets (including liquid assets), investments and real property and their regular financial commitments. The Intermediary also confirms that the Policyholder has the capacity to bear investment risks arising from the management of the portfolio, including the potential of significant loss;
- I/we have read RBC Brewin Dolphin’s Risk Guide for Intermediaries and that the Category identified in Section 3 of this Account Opening Form is suitable for the Policyholder;
- I/we have received RBC Brewin Dolphin’s Account Opening Information Pack, and the Intermediary agrees to be bound by the Terms of Business for Intermediaries in relation to the services RBC Brewin Dolphin will provide (via the Intermediary) in relation to this account. I/we shall seek clarification promptly if there is anything in the Account Opening Pack that I/we do not understand;
- I/we acknowledge that RBC Brewin Dolphin has been appointed to act as discretionary portfolio manager on this account by the selected Offshore Bond Provider and will accordingly hold and provide its services in relation to the account under the terms of its appointment by the Offshore Bond Provider;
- I/we have obtained information from the Policyholder on their investment objectives, including the length of time they wish to hold investments, their preferences regarding risk taking, their risk profile and the purposes of investment;
- I/we confirm that I/we have verified and identified all parties to this agreement in accordance with the Intermediary Terms of Business;
- I/we have discussed and agreed with the Policyholder the overall charging structure in relation to this service;
- Where the Intermediary supplies RBC Brewin Dolphin with information and personal data in relation to this account (including information classed as “special category personal data” under Data Protection Laws), the Intermediary has obtained the relevant data subject’s prior consent to provide this information or personal data to RBC Brewin Dolphin and for RBC Brewin Dolphin to process it in order to provide its services;
- I/We acknowledge receipt of RBC Europe Limited’s Financial Services Compensation Scheme (FSCS) information sheet and exclusions list.

Intermediary Authorised Signature

Signed (upload your electronic signature here)

Alternatively type in your name to confirm your signature

Date

Print Name

Section 9: Declaration and Acceptance of terms by the Director(s)/ Policyholder(s)

9.1. Declaration by the Director/Policyholder

I declare that:

- The information provided in this form relating to me is correct, complete and up-to-date;
- I understand that RBC Brewin Dolphin has been appointed to act as discretionary portfolio manager on this account by my selected Offshore Bond Provider and will hold and provide its services in relation to the account under the terms of its appointment.
- I have received a copy of RBC Brewin Dolphin's 'Retail Client Terms & Conditions (for the clients of financial advisers)' (the "Retail Terms"). I understand that, subject to the terms of RBC Brewin Dolphin's appointment by my selected Offshore Bond Provider, the Retail Terms set out the basis on which RBC Brewin Dolphin will engage with me;
- I acknowledge and agree that RBC Brewin Dolphin's receipt and acceptance of this Account Opening Form shall act as its appointment to provide its services in relation to this account; and
- I will notify my Intermediary promptly of any changes to the information provided in this form and of any other relevant information.

9.2. Sensitive Personal Data

From time to time, we may collect certain sensitive personal data (including data about your health) which you provide to us over the course of our relationship. We collect and process this sensitive personal data (defined as "special categories of personal data" under the GDPR) so that we can tailor our services to your individual needs. However, we may only do so where we have your consent, which you can provide to us by ticking the box below:

I consent to RBC Brewin Dolphin collecting and processing my sensitive personal data, including information about my health, to the extent necessary in connection with the provision of its services to me.

First Director/Policyholder

Signed (upload your electronic signature here)

Alternatively type in your name to confirm your signature

Date

Print Name

Second Director/Policyholder

Signed (upload your electronic signature here)

Alternatively type in your name to confirm your signature

Date

Print Name

Third Director/Policyholder

Signed (upload your electronic signature here)

Alternatively type in your name to confirm your signature

Date

Print Name

Section 9: Declaration and Acceptance of terms by the Director(s)/ Policyholder(s)

Fourth Director/Policyholder

Signed (upload your electronic signature here)

Alternatively type in your name to confirm your signature

Date

Print Name

Section 10: Declaration and Acceptance of Terms by the Offshore Bond Provider

10.1. Order Execution and Conflicts Policies

You will have received our Retail Client Terms & Conditions which detail our: – Order Execution Policy
– Conflicts of Interest Policy

Our Order Execution Policy specifies that we may execute transactions outside regulated markets and multilateral trading facilities and that we may exercise our discretion as to whether or not to publish limit orders.

We strongly believe that it is in your interests that you accept our Order Execution Policy as it enables us to get the best outcome for you and we may be unable to open an account if you do not consent to the Order Execution Policy. **We would ask that you provide express consent to the Order Execution Policy as set out in the Retail Client Terms and Conditions for the Clients of Financial Advisers by signing the declaration in section 8.4 below.**

10.2. Self-Certification Of Status Under 'FATCA' Tax Exchange Agreements

Note: The term 'FATCA' is used below to refer collectively to all Automatic Exchange of Information agreements currently in effect in the UK:

Automatic Exchange of Information agreements primarily include (but are not limited to) the following regulations:

The United States 'Foreign Account Tax Compliance Act (FATCA)' Regulations':

The 'Common Reporting Standard (CRS)' Regulations:

These regulations require RBC Brewin Dolphin to obtain a 'self-certification' from all account holders to explicitly confirm their residency for tax purposes. RBC Brewin Dolphin may also be required to disclose accounts to HMRC that are held by, or for the benefit of, a US citizen or a person resident outside the UK.

Further detail of these regulations can be found on the HMRC website here: <https://www.gov.uk/government/collections/automatic-exchange-of-information-agreements>

Offshore Bond Provider Self-Certification:

Please complete the following to confirm the status of the Offshore Bond Provider under 'FATCA' tax authority exchange regulations:

Section 1 to confirm the Offshore Bond Provider's country of residence for tax purposes, and

Section 2 to confirm the Offshore Bond Provider's classification for the purposes of FATCA, and,

Section 3 to confirm the Offshore Bond Provider's classification for the purposes of the Common Reporting Standard.

Section 1. Offshore Bond Provider Tax Residency:

Please indicate the country in which the Offshore Bond Provider is incorporated/organised for the purposes of that country's income tax. (If you do not have a Tax Identification Number in your country of residence, please state the reason why).

Section 10: Declaration and Acceptance of Terms by the Offshore Bond Provider continued

Country of Residency for Tax Purposes

Tax Identification Number

Country of Residency for Tax Purposes

Tax Identification Number

Country of Residency for Tax Purposes

Tax Identification Number

Section 2. Offshore Bond Provider FATCA Entity classification:

(a) We confirm that the Offshore Bond Provider meets the definition of an ‘Exempt Beneficial Owner’ for FATCA purposes.

(Either under the IRS regulations, or under the legislation issued by the country stated in Section 1)

(b) We confirm that the Offshore Bond Provider is a compliant Financial Institution

Please complete section (i), (ii), (iii) or (iv) to confirm the FI category

Offshore Bond Provider Financial Institution (FI) Status

i) If the entity is registered as an FI or as a Sponsored Entity please provide the Global Intermediary Identification Number (GIIN)

ii) If the entity is a Sponsored closely held Investment Vehicle please provide the GIIN of the sponsoring FI

Please confirm whether it is the GIIN of the Entity or the Sponsoring FI GIIN:

Own Sponsoring Name of Sponsor

If unable to provide a GIIN, please tick reason why your organisation does not have a GIIN:

iii) The Entity has applied, or is going to apply, for a GIIN (but has not yet received it)

iv) The Entity is a Certified or otherwise Deemed Compliant FI

State reason for Deemed Compliant Status:

(c) We confirm that the Offshore Bond Provider is not exempt from FATCA reporting, does not intend to register with the IRS

(or otherwise be deemed compliant), and does not intend to report the underlying beneficiary(s) under FATCA Regulations

By checking this box we acknowledge and accept the following:

- RBC Brewin Dolphin will classify this account as being held by a ‘non-Participating Foreign Financial Institution’
- RBC Brewin Dolphin may be required to disclose certain details of the account to the IRS (via HMRC) under FATCA tax authority exchange agreements
- RBC Brewin Dolphin may be obliged to deduct withholding tax from income paid to this account.

Section 10: Declaration and Acceptance of Terms by the Offshore Bond Provider continued

10.3. Declaration

On behalf of the Offshore Bond Provider of the Policy, we declare that:

- We consent to the Order Execution Policy on behalf of the Policyholder;
- The information provided in this form regarding the Offshore Bond Provider is complete and correct to the best of our knowledge and we shall notify RBC Brewin Dolphin promptly of any changes in the details, status or circumstances of the Offshore Bond Provider;
- We expressly consent to the Intermediary (authorised to act on behalf of the Policyholder in Section 1 of this form) to communicate with, give instructions to, and otherwise deal with RBC Brewin Dolphin in respect of the Policyholder's Account unless or until such authority is withdrawn by the Offshore Bond Provider, by notice in writing, to RBC Brewin Dolphin;
- Where we supply RBC Brewin Dolphin with information about the Policyholder we have obtained their prior consent to provide this information to RBC Brewin Dolphin and for RBC Brewin Dolphin to process it in order to provide its services.
- The tax residency / FATCA information provided in this form is, to the best of our knowledge and belief, accurate and complete.
- We undertake to advise RBC Brewin Dolphin promptly of any change in circumstances which causes the tax residency / FATCA information contained herein to become incorrect or incomplete and to provide RBC Brewin Dolphin with an updated declaration within 30 days of such a change in circumstances
- We understand that in certain circumstances RBC Brewin Dolphin will be obliged to share this information with the UK tax authorities, who may share this with other tax authorities.

At least two Authorised Signatories must sign on behalf of the Offshore Bond Provider.

First Authorised Signatory

Signed (upload your electronic)
signature here)

Alternatively type in your name
to confirm your signature

Date

Print Name

Second Authorised Signatory

Signed (upload your electronic)
signature here)

For RBC Brewin Dolphin use only

Intermediary Firm Name

Intermediary Code

PRC

Branch Code:

A/C Exec responsible for account

FCA Number

Terms and Conditions

BD Number:

BD 1121 / /

Please record the reference number from the back of the Terms and Conditions document.

Set Up

Rate Card Type

Power of Attorney

Parent Key

Account Title

For office use only

Client Code

PRC Code

CE (iCode)

CE (O Code)

Classification

RETAIL CLIENT



RBC Brewin Dolphin is a trading name of RBC Europe Limited. RBC Europe Limited is registered in England and Wales No. 995939. Registered Address: 100 Bishopsgate, London EC2N 4AA. Authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority.

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RBCBD2592_2606_5
Issue date: June 2026