

Client Transfer Authority Form for Intermediaries



Brewin
Dolphin

Client details

Client Name

Date of birth

Client Reference

Address

City

County

Country

Post Code

Additional Account Holders should complete their details in the Signature section on the reverse of this form.

Existing Investment Manager

Name

Address

City

County

Country

Post Code

Contact name

Telephone No.

E-mail address:

Account Number Transfer Details

I/We wish to transfer the following account(s) to RBC Brewin Dolphin and request that you provide them with details of my/our account(s). Please notify RBC Brewin Dolphin of any issues/restrictions concerning the transfer of my/our account(s). I/We authorise you to transfer the securities and/or cash within my/our account(s) in accordance with the instructions given by RBC Brewin Dolphin.

Account Number

Cash In-Specie

Account Number

Cash In-Specie

I/We want to transfer all holdings to RBC Brewin Dolphin

I/We hereby authorise the transfer of the listed securities attached

If you wish to transfer specific securities from your portfolio please provide details of these on a separate sheet and return with this form.

Is there a change in underlying Beneficial Owner(s)? Yes No

If yes, please specify (contact your RBC Brewin Dolphin Investment Manager for help)

Please note that your existing Investment Manager may levy a charge when you transfer.

RBC Brewin Dolphin will accept an electronic signature for the transfer, but your current provider may not accept one, which may delay the transfer.

If you are in any doubt about this, please contact your provider directly or print a copy of this form to sign, using a wet signature.

Signature(s) of Account Holder(s)

First Authorised Signatory

Signed

Date

Print Name

Second Authorised Signatory

Signed

Date

Print Name

Third Authorised Signatory

Signed

Date

Print Name

Fourth Authorised Signatory

Signed

Date

Print Name

For RBC Brewin Dolphin use only

RBC Brewin Dolphin Investment Manager Contact Details (to be completed by RBC Brewin Dolphin)

Name

Office Address

Telephone Number

E-mail address:

Please provide a valuation including sedol and book costs and a full CGT history to the above details

Please contact the Transfers team for the appropriate RBC Brewin Dolphin bank details in relation to foreign currency transfers.

Bank Details

Name and Address of Bank

Bank Account Number

Sort Code

Account Title

Quoting Reference

